



# April Grants Innovation Session

Transition of the Federal Audit Clearinghouse  
for Single Audit Submissions

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## **What is moving?**

What is the FAC?

Who is helping?

How will this help?

How can you keep up?



*Edward S. Muskie Building, Augusta, Maine*

# What is moving?

- Federal Audit Clearinghouse (FAC)
- Transitioning from Census to the General Services Administration (GSA)
- A continuation of prior investments by 10x, the federal government's innovation unit (10x.gsa.gov)



*Portland, Maine. Photo by yours truly. Hereby licensed public domain / CC0.*

What is moving?

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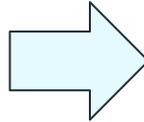


*Hilo Federal Building, US Post Office and Courthouse*

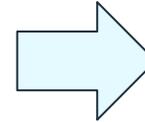
# Grants go out



The federal government makes **grants**



to **grantees** (states, municipalities, organizations)

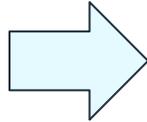


and some spend **more than \$750K in a year**

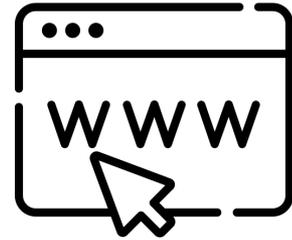
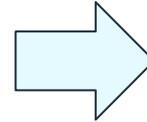
# Single Audits are completed



Grantees find an  
**auditor**



who **performs**  
**an audit** per  
2CFR200

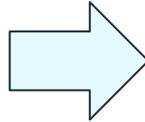


and they **submit that**  
**audit to the FAC**

# This is where accountability happens



agencies **review**  
**the audits and**  
**provide oversight**



and grantees  
**respond to**  
**concerns (if any)**

You can look these up!



Audits are **made**  
**publicly available**

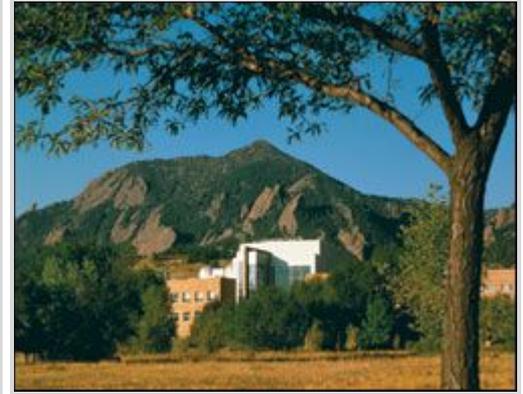
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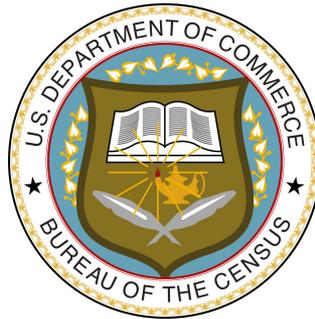
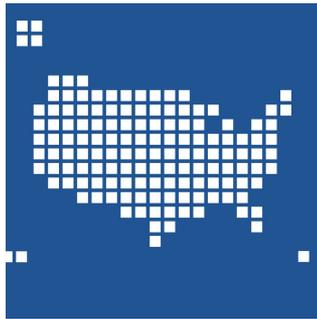
*David Skaggs Research Center, Boulder, CO*

## Who is the team

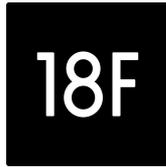
The GSA is home to many shared services, with institutional support for the work.

The Technology Transformation Services is the GSA's leading digital services unit.

Census, the Grants QSMO, and OMB laid the foundations upon which we build, and are critical, constant, and essential members of the team.



# One TTS, all GSA



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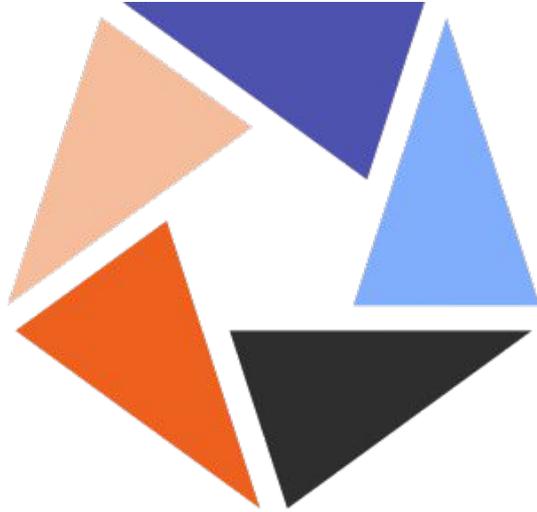
**How will this help?**

How can you keep up?



*Future landed port of entry, Alexandria Bay, N.Y.*

# “Minimal” changes, maximal impact!



*The US Web Design System helps us  
build 508-compliant systems.*

Audit submission access

Federal Audit Clearinghouse

### Create new audit

1 Submission criteria check 2 Audit fee information 3 **Audit submission access** 4 Create open audit

#### Who will need access to this audit?

**Key information**

- In this section, list anyone who needs access to the audit submission.
- You can make changes later using the [Account Tools](#) option on the [account homepage](#).

\* indicates a required field.

**Auditee certifying official**

This individual will electronically certify (sign) the audit submission on behalf of the auditee.

| Name                 | Email address        | Re-enter email address |
|----------------------|----------------------|------------------------|
| <input type="text"/> | <input type="text"/> | <input type="text"/>   |

These individuals will have access to the single audit submission but will not have the ability to certify the submission.

**Auditee contacts**

| Name                 | Email address        | Re-enter email address |
|----------------------|----------------------|------------------------|
| <input type="text"/> | <input type="text"/> | <input type="text"/>   |

[Add another contact](#)

**Auditor certifying official**

This individual will electronically certify (sign) the audit submission on behalf of the auditor.

| Name                 | Email address        | Re-enter email address |
|----------------------|----------------------|------------------------|
| <input type="text"/> | <input type="text"/> | <input type="text"/>   |

**Auditor contacts**

| Name                 | Email address        | Re-enter email address |
|----------------------|----------------------|------------------------|
| <input type="text"/> | <input type="text"/> | <input type="text"/>   |

[Add another contact](#)

[Skip this for now](#)

GSA Technology Transformation Services | GSA

Sign in with  LOGIN.GOV

## Federal Audit Clearinghouse

Link

Section 1 ▾



## Create new audit



## Does your entity meet the single audit criteria?

\*Indicates a required field.

Which organizational type best describes this entity? \*

- State
- Local Government
- Indian tribe or tribal organization

# Accessibility comes first (Github issue #143)

As a product team we need to **ensure that accessibility becomes an essential and integral part of design and development. 508-compliance is mandatory for launch.** By starting projects with accessibility in mind and creating a process for documenting user interaction and accessibility requirements we can build more inclusive products and avoid costly, last minute accessibility fixes.

## Accessibility considerations that may need documenting

- Accessible color palette
  - AA or AAA?
- Interactions states and statuses
  - Button states
  - Form inputs
  - Error, Success, Information
    - What triggers the error (= error case)
    - What the exact message should be.
  - Focus order in form elements / Tabulation key order — Microsoft A11y Focus order for Figma
- What is the Correct HTML5 type?
- Is this field supposed to have autocomplete, autofill, etc. (attributes)?
- Legends and field grouping markup
- Default focused elements when page opens
- ...

# Automation!

We're going to provide ways for you to automate your interactions with the FAC.

This is called an **API**. It's like a plug. It will provide you and your IT teams with "sockets" that "just work" for interacting with the system.



## Demos, not memos

Share and  
iterate.



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*Mitchell H. Cohen U.S. Courthouse Annex  
Camden, NJ*

login.gov is our friend



**LOGIN.GOV**

# What is the UEI?

It is the

# Unique Entity Identifier

Visit [sam.gov](https://sam.gov)!



Transitioning to the New Unique Entity ID (SAM)

The Unique Entity ID is here! This is what you need to know.

**On April 4, 2022**  
Government awards will be completed and reported using the Unique Entity ID (SAM)

- Unique Entity ID (SAM) is authoritative
- DUNS Number is not available

### Overview of Changes

Beginning April 4, 2022, the Unique Entity ID from SAM.gov is the authoritative identifier for those doing business with the federal government. The DUNS Number is no longer valid for federal award identification.

All of the Integrated Award Environment (IAE) systems—SAM.gov, eSRS, FSRS, FPDS, FAPIIS, and CPARS—are now only using the Unique Entity ID to identify entities.

The Unique Entity ID is generated in SAM.gov. **If you are registered in SAM.gov (active or not), you already have a Unique Entity ID.** It is viewable at SAM.gov.

HELP ON UEI TRANSITION

Select above to get more help

### Tips

- Don't have a Unique Entity ID yet? [Here's how to get one](#)
- Any DUNS Number fields in SAM.gov [APIs and extracts](#) will be empty.
- If you haven't already, replace DUNS Number fields with Unique Entity ID fields in your [saved searches](#) and [contract data ad hoc reports](#)

### What Should I Keep in Mind?

#### Non-Federal Users:

- The next time you need to update your entity information or renew your entity registration, go to your SAM.gov workspace to [validate your entity information](#). Learn how [here](#).
- Entities who are prime awardees reporting on sub-awardees in FSRS should use the sub-awardee's Unique Entity ID. [You can search SAM.gov](#) for another entity's information.
- Those who are new to SAM.gov can register their entity or just get a Unique Entity ID by signing in to [SAM.gov](#) and selecting "Get Started." If you want to bid directly on contracts or grants from the federal government, choose "Register Entity." If you are a sub-awardee who just needs a Unique Entity ID for subaward reporting, choose "Get Unique Entity ID."

#### Federal Awarding Officials:

- [To search for an entity](#) in SAM.gov, you can use the entity's legal business name, CAGE code, or Unique Entity ID. You can no longer use the DUNS Number to search entities or exclusions.

## Where the water coolers are...

If you want to hear what we're up to, there's many ways to stay in the loop.

1. [grantscommunity@listserv.gsa.gov](mailto:grantscommunity@listserv.gsa.gov)
2. [grantsfed@listserv.gsa.gov](mailto:grantsfed@listserv.gsa.gov)

These are the best places you can stay informed about work going on with the FAC (and the grants community as a whole)!

## Our work is open.

If it's how you roll, you can watch our repositories.

**<https://github.com/gsa-tts/fac>**

**<https://github.com/gsa-tts/fac-frontend>**

All our work is in the public domain and being conducted in the open.

# Thank you

fac@gsa.gov

<https://github.com/gsa-tts/fac>  
<https://github.com/gsa-tts/fac-frontend>

